



PATENT Attorney Docket No. ASC-066

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Westhoff et al.

SERIAL NO.:

10/765,372

**GROUP NO.:** 

2812

FILING DATE:

January 27, 2004

**EXAMINER:** 

Not yet assigned

TITLE:

SEMICONDUCTOR STRUCTURES WITH STRUCTURAL

**HOMOGENEITY** 

## CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 14<sup>th</sup> day of April, 2005.

*M.M.M.y. J.* Wendy L. Martin

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### Submitted herewith are:

- 1. Transmittal Form (1 page);
- 2. Supplemental Information Disclosure Statement (2 pages);
- 3. Form PTO-1449 (1 page);
- 4. Copy of cited reference (C140); and
- 5. Return receipt postcard

	(QID)	-	Application	Serial Number	Т	10/765,372					
	The state of the s	_	Filing Date			January 27, 2004					
	APR 10 m		First Named	Inventor		Westhoff					
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			Patent No.			Not yet assigned					
			Issue Date	V		Not yet assigned					
ENCLOSURES (check all that apply)											
☐ Fee	Transmittal Form		Copy of Notice Parts of Applie	e to File Missing cation		Notice of Appeal to Board of Patent Appeals and Interferences					
	☐ Check Attached☐ Copy of Fee Transmittal Form		Formal Drawin	ng(s)		Appeal Brief (in triplicate)					
	Amendment/Response		Request For C Examination (I			Status Inquiry					
	☐ Preliminary ☐ After Final		Transmittal		$\boxtimes$	Return Receipt Postcard					
	Affidavits/declaration(s) Letter to Official Draftsperson including Drawings		Power of Attorney (Revocation of Prior Powers)		Ø	Certificate of First Class Mailing under 37 C.F.R. 1.8					
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	Petition for Extension of Time			aration and Power Utility or Design tion		Additional Enclosure(s) (please identify below)					
	Supplemental Information Disclosure Statement Form PTO-1449		Small Entity Statement								
Č	Form PTO-1449 Copy of SIDS Citation (C140)		CD(s) for large program	e table or computer							
	Certified Copy of Priority Document(s)		Amendment A	fter Allowance							
0	Sequence Listing submission Paper Copy/CD Computer Readable Copy Statement verifying identity of above		Request for Certificate of Correction  Certificate of Correction (in duplicate)								
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Direct a	Il correspondence to: Patent Ad Goodwin Exchange Boston, M Tel. No.: ( Fax No.: ( Customer	1000	Date: April <u>JY</u> , 200 Reg. No. 44,381 Tel. No.: (617) 570- Fax No.: (617) 523-								

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publication listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement for provision of listed references under 37 C.F.R. 1.98(a)(2)(ii), only a copy of the non-patent publication is enclosed.

### **REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

(1)	continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the first Office action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. 1.114; or
(2)	after the period defined in (1) but before the mailing date of a final action or a notice of allowance under 37 C.F.R. 1.311, and
	the requisite Statement is below, <b>OR</b>

Supplemental Information Disclosure Statement Serial No. 10/765,372 Page 2 the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or after the mailing date of a final action or notice of allowance but before the payment (3) of the issue fee, AND the requisite Statement is below, AND the requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein. It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application. Respectfully submitted, Date: April 19, 2005 Reg. No. 44,381 Natasha C. Us Attorney for the Applicant Goodwin Procter LLP **Exchange Place** Tel. No.: (617) 570-1806

Boston, Massachusetts 02109

Fax No.: (617) 523-1231

FORM PTO - 1449				ATTORNEY DOCKET NO.: ASC-066									
SUPPLEMENTAL INFORMATION					Al	APPLICANTS: Westhoff et al.							
DISCE	DISCLOSURESTATEMENT						SERIAL NO.: 10/765,372						
APR 1 8 2005					FILING DATE: January 27, 2004								
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U.S. PATENT DOCUMENTS													
EXAM. INIT.		DOCUMENT NUMBER		DATE		NAME			CLASS	SUB FILING DATE I CLASS APPROPRIATE			
	A198	US 2002/185686 A1		12/12/2002		Mooney et al.							
	A199	US 4 914 488 A		04/03/1990		Mishima et al.							
	A200	US 4 960 728 A		10/02/1990		Schaake et al.							
	A201	US 6 208 005 B1		03/27/2001		Mitra							
	A202	US 6 515 335 B1		02/04/2003		Christiansen et al.							
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EXAM. INIT.	` ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '												
	C140 International Search Report for PCT/US2004/002282, October 4, 2004, 6 pages.												
EXAMINER				DATE CONSIDERED									